

**In the United States Patent and Trademark Office**

Applicant(s): Alan M. Buckwalter	Docket No.:	17209-440CP1
Serial No.:	10/697,541	Art Unit: 3694
Filed:	October 30, 2003	Examiner: Merchant, Shahid R.
For:	Method and Apparatus for Monitoring and Evaluating Limit Order Trading	

---

Mailstop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114**

Sir:

Applicant respectfully requests re-opening of prosecution of the instant application in view of the concurrently filed Request for Continued Examination and Amendment/Response responsive to the Final Office Action dated May 22, 2008 (hereafter "Office Action"), the Examiner's Answer dated January 06, 2009 (hereafter "Examiner's Answer"), the Decision on Appeal dated November 10, 2009 (hereafter "Decision on Appeal"). Applicant respectfully requests entry of the following amendments, and reconsideration of the above-referenced application, in view of the following amendments and/or remarks.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 12 of this paper.

**35 USC § 103** remarks begin on page 12 of this paper.